arXiv CY2018			CY18	Actuals CY18
EVENUE				
	ber contributions		\$500,400	\$541,613
	ns Foundation Annual Commitment		\$100,000	\$100,000
Simons Foundation Matching Fund			\$300,000	\$300,000
	ell University Library (Direct + Leadership)		\$170,000	\$170,000
Sloan Foundation (Jan-April)			\$146,856	\$148,535
	ng-Simons Foundation		\$107,333	\$77,417
	ing from Development Reserves ¹		\$60,000	\$73,333
Gifts			\$50,000	\$73,459
			\$1,434,589	\$1,411,024
			Ş1,434,305	<i><i><i><i>q</i>1,411,024</i></i></i>
	onnel (including benefits)		Salary/Benefits	
1 8130	Program Director, Scientific Director & NG Coordinator	1.2FTE	\$227,273	\$222,237
	Operations Manager, User Support & Moderation	3.5FTE + 0.6FTE students + Contract	\$314,447	\$321.397
	IT Lead, Lead Architect, Programming, Systems, UX	5.5FTE + Contract	\$748,312	\$687,082
	Technology Strategy Advisor, Membership, Policy, Finance	0.45FTE	considered indirect	3087,08Z
		Subtotal Personnel	\$1,290,032	\$1,230,716
Non-	Personnel	Subtotur Fersonner	\$1,290,032	\$1,230,710
	Servers (physical & virtual), hardware maintenance, storage and backup		\$88,000	\$62,056
	Staff computers, software, supplies, and misc. expenses		\$6,000	\$11,510
	Meetings, workshops, consultancies, training, conferences		\$41,000	\$31,409
	Meetings (Sloan)		\$9,000	\$2,000
		Subtotal Non-Personnel	\$3,000 \$144,000	\$2,000 \$106,975
		Subtotul Non-Fersonner	\$144,000	\$100,975
			4	
TOTAL DIRECT E			\$1,434,032	\$1,337,691
	Transfer out to Reserves		-	\$73,333
		Total expenses	\$1,434,032	\$1,411,024
Indire	ect and Volunteer Costs			4000
	College and department administration, staff support (26% of direct costs) ²		\$315,379.70	\$296,715
	Facilities (11% of direct costs) ³		\$133,429.87	\$125,533
	Heising-Simons Foundation (15% of Foundations program support) ⁴		\$14,000	\$10,098
	Sloan Foundation (15% of Foundations program support)		\$19,155	\$19,374
	arXiv moderation (160+ moderators, varying time)		not tracked	not tracked
		Subtotal Indirect Costs	\$481,965	\$451,720
TOTAL EXPENSES (direct + indirect)			<u>\$1,915,996</u>	<u>\$1,789,411</u>
ANNUAL OPERAT			CY18 starting	CY18 actual
Opera	ating Reserves		\$300,000	\$300,000
Develo	opment Reserves		\$855,898	\$929,231

Notes

¹ During CY18, the arXiv team anticipated drawing some funds from the development reserves, which were earmarked for supporting new initiatives such as Next Generation arXiv development efforts. We did not need to as the CY18 revenue was greater than the expenses.

² College and administration indirect costs are derived from the Cornell University negotiated indirect cost rate. These indirect costs include administration and staff support from Cornell Finance and Budget, Human Resources, Facility, and IT. The salaries of the Finance and Membership Support staff are considered as Cornell's indirect contributions and are not included in the staff salary total. Considered Cornell in-kind contribution.

³ Facilities indirect costs are derived from the Cornell University negotiated indirect cost rates. These indirect costs include maintenance, custodial, utility and other facility related costs for the building. Considered Cornell in-kind contribution.

⁴ Indirect costs above are based on expenses paid by Cornell, and do not include the program support provided by Heising-Simons Foundation and Sloan Foundation. The grants from Heising-Simons Foundation and Sloan Foundation include accomodation for indirect costs as a percentage of their program support.